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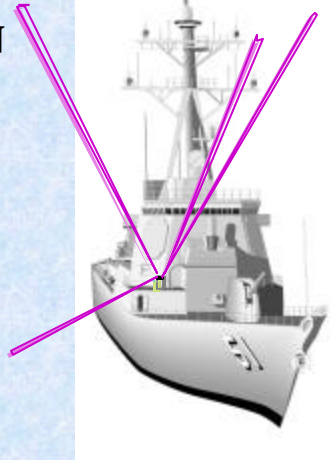


## ENVIRONMENT DEFINITION USING RAMAN LIDAR: EM-EO-MET

by

C. Russell Philbrick and Adam Willitsford  
Electrical Engineering Department  
and Applied Research Lab

**BACIMO 2005 Conference**  
Monterey CA  
12-14 October 2005



[lidar1.ee.psu.edu](http://lidar1.ee.psu.edu)

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## EM /EO/MET Data for Navy METOC Support

**E&M (RF refraction) and EO (optical propagation) data products are required for future systems. A new level in type and quality of observational data is needed for assimilation into numerical models.**

*Lidar profiles provide the best source for high quality meteorological profiles and EM/EO data.*

**Model prediction capability is based upon constraints provided by gridded fields of measured parameters.**

*High resolution data - both time and space - are needed to constrain advanced numerical models as they are applied to mesoscale features -- tens to hundreds of kilometers.*

**“Even if more capable models were available, our ability to supply the data needed to drive them is deficient.”**

Reference: 97 EM/EO Symposium, Edward Whitman (TD for Oceanographer of the Navy)

## Our Research Goals . .

*– Develop, demonstrate and use capabilities of Raman lidar to foster a wide range of applications that support atmospheric measurements, weather prediction, air quality monitoring, and model development (initialization and assimilation).*



*Goal of this paper . . .  
show capability and status of Raman lidar for providing measurements required for Navy applications in EM/EO/MET.*



## Presentation

What is Raman lidar?


Why Raman lidar?

- Robust (signal ratios)
- Single wavelength (no tuning)
- Many parameters measured simultaneously
- Continuous time sequence of data
- Horizontal measurements – spatial, evaporation duct
- Real-time data product in engineering units

What are the limitations of Raman lidar?

- Small cross-section (~molecular/1000 – move to UV)
- Need large laser for sufficient photon flux over background

What is the status?

- Research on technique is relatively complete
- Cross-sections are known to  $< \pm 1\%$
- Long life – 3-4 months continuous
- Sensor is ready to marry with models 

## Model Development and Application

Models provide the capability to input:

- physics and chemistry
- past climatology

and thereby allow extensions in time and space.

You have seen many advances in models,

NOGAPS, COAMPS and NOWCAST – NRL Monterey  
WRF – NCAR

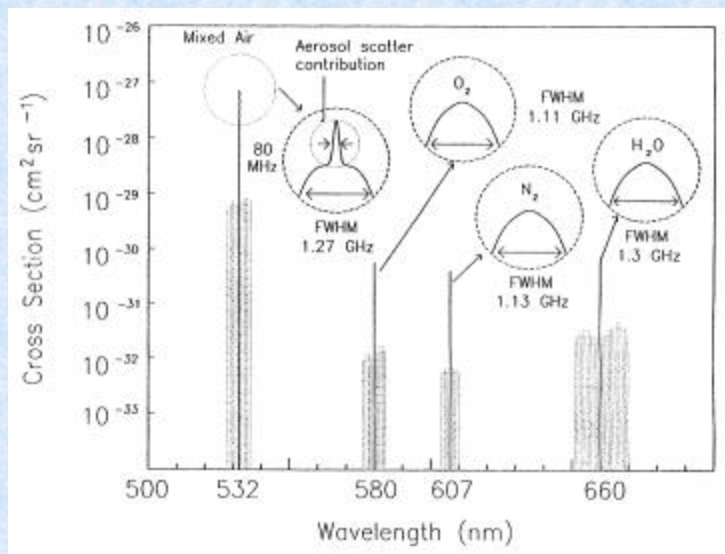
### CAUTION

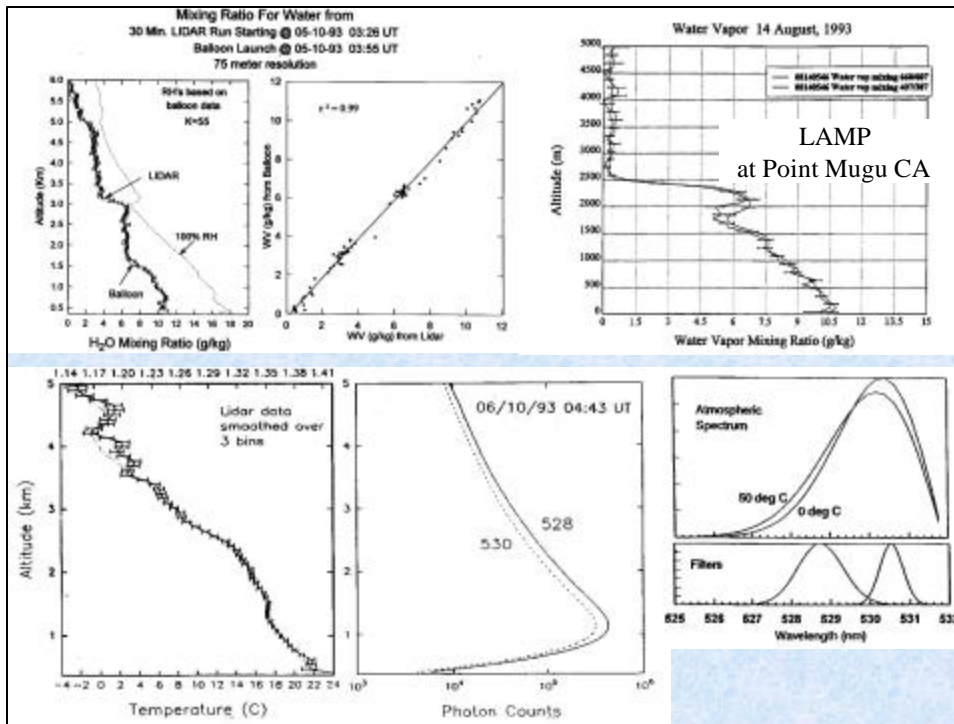
The model output data products generally look the same whether there has been any data input or not.

There needs to be a marriage between sensors and models to be able to really provide the required:


- spatial continuity,
- time projection.

## Raman Scatter in Air (Nd:YAG 2<sup>nd</sup> Harmonic – 532 nm)

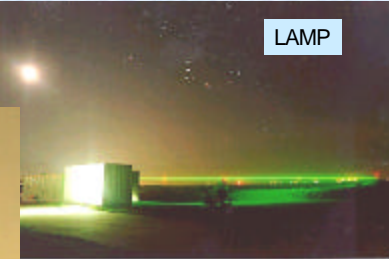





## Raman Lidar Development




GLEAM



LAMP



LARS



LAPS

Five generations of Raman Lidar

- 1<sup>st</sup> GLEAM (1978)
- 2<sup>nd</sup> GLINT (1984)
- 3<sup>rd</sup> LAMP (1990)
- 4<sup>th</sup> LARS (1994)
- 5<sup>th</sup> LAPS (1996)

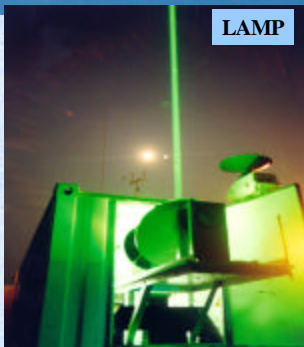
**Breadboard Research Instrument** .....

Arctic to Antarctic Testing at Point Mugu

**Operational Prototype (ADM)**

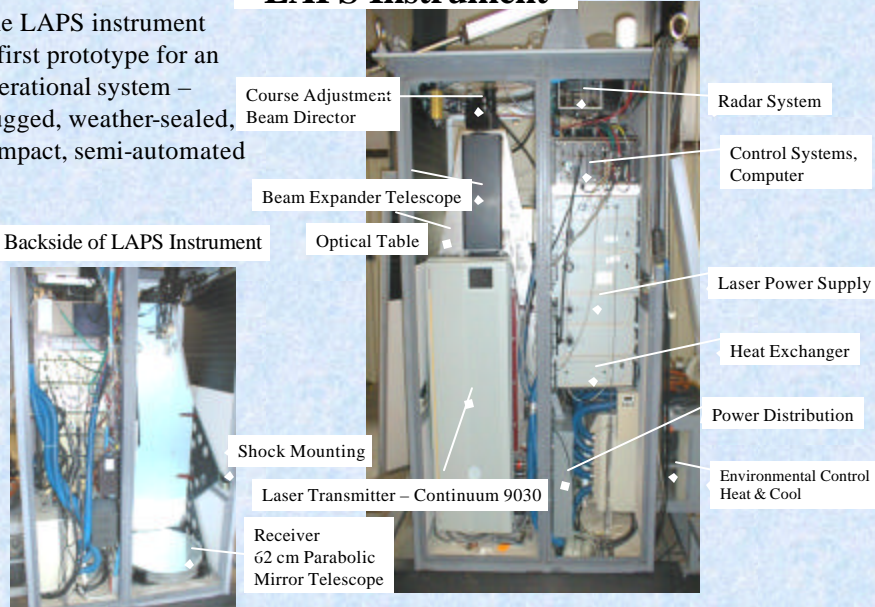
Testing on USNS Sumner  
Advanced Development Model

## Three Raman Lidar Operating Simultaneously at PSU



## LAPS Instrument

The LAPS instrument is first prototype for an operational system – Rugged, weather-sealed, compact, semi-automated



## LAPS Instrument Characteristics and Measurements

Transmitter	Continuum 9030 (30 Hz) 5X Beam Expander	600 mj @ 532 nm 120 mj @ 266 nm
Receiver	61 cm Dia. Prime Focus Telescope	Fiber optic pickup
Detector	8 PMT Channels Photon Counting	528 + 530 nm – Temperature 660 + 607 nm – Water vapor 294 + 285 nm – Daytime Water Vapor 276 + 285 nm – Raman/DIAL
Data System	DSP 100 MHz	75 m bins (upgrade to 15 meter)
Safety System	Marine R-70 – X-Band	Protect near field

Property	Measurement	Altitude	Time - Resolution
Water Vapor	660/607 (H <sub>2</sub> O/N <sub>2</sub> )	Surface to 5 km	Night -1 min
	294/285 (H <sub>2</sub> O/N <sub>2</sub> )	Surface to 3 km	Day & Night -1 min
Temperature	528/530 Rotational Raman	Surface to 5 km	Night 10 to 30 min
Extinction 530 nm	530 nm Rotational Raman	Surface to 5 km	Night 10 to 30 min
Extinction 607 nm	607 nm N <sub>2</sub> 1 <sup>st</sup> Stokes	Surface to 5 km	Night 10 to 30 min
Extinction 285 nm	285 nm N <sub>2</sub> 1 <sup>st</sup> Stokes	Surface to 3 km	Day & Night 10 to 30 min
Ozone	O <sub>2</sub> /N <sub>2</sub> (276/285)Raman/DIAL	Surface to 2 km	Day & Night - 30 min

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## EM/EO Requirements for Refractivity and Extinction

EM requirement is for RF-refraction

Water Vapor    ⇒ n    ⇒    m    ⇒    TREPS, RPO,  
 Temperature    index    modified    RPOT, TPME  
 of refraction    index

EO requirement is for optical refraction/extinction

Upper Layer - Temperature Dew Point    ⇒    Optical Extinction

Lower Layer - Aerosol Description & Visibility

Lidar ⇒ Water Vapor & Temp ⇒ EM Propagation Conditions

Lidar ⇒ Optical Extinction & Temp ⇒ EO Propagation Conditions

## EM – RF-refraction

- Index of refraction of air typically 1.00025 to 1.0004
- N units =  $(n - 1) \times 10^6$  yielding 250 to 400 N units
- M units (modified refractivity) -> N units modified to account for the curvature of earth

$$M = N + 0.157 * z \quad (z \text{ is the altitude in meters})$$

Condition	N-Gradient (N/km)	M-Gradient (M/km)
Trapping	$dN/dz = -157$	$dM/dz = 0$
Superrefractive	$-157 < dN/dz = -79$	$0 < dM/dz = 78$
Standard	$-79 < dN/dz = 0$	$78 < dM/dz = 157$
Subrefractive	$dN/dz > 0$	$dM/dz > 157$

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## RF Refractivity Variation

$$N = (n - 1) \times 10^6 = 77.6 \frac{P}{T} + 3.73 \times 10^5 \frac{e}{T^2}$$

$$e \text{ (mb)} = \frac{r P}{r + 621.97}$$

**P** - surface pressure    **r** - specific humidity    **T** - temperature

$$T(\text{K}) \sim 295 \text{ K} \quad P(\text{mb}) \sim 1000 \text{ mb} \quad r \sim 7 \text{ g/kg} \quad N \sim 310$$

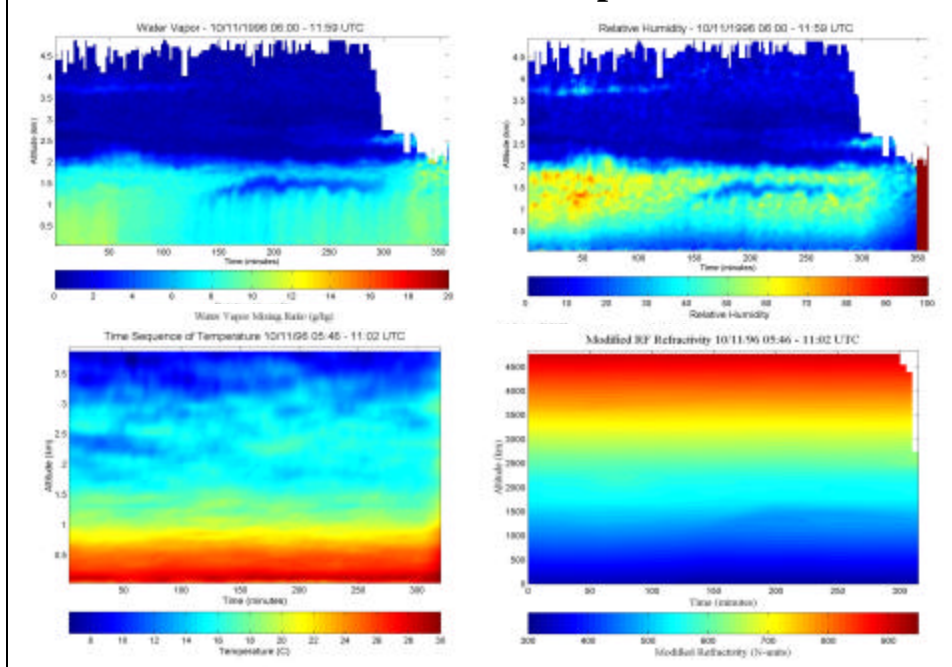
$$\Delta N = (\delta N / \delta r) \Delta r + (\delta N / \delta T) \Delta T + (\delta N / \delta P) \Delta P$$

$$\delta N / \delta r \sim 6.7 \quad \delta N / \delta T \sim -1.35 \quad \delta N / \delta P \sim 0.35$$

$$dN/dz = 6.7 \, dr/dz - 1.35 \, dT/dz + 0.35 \, dP/dz$$

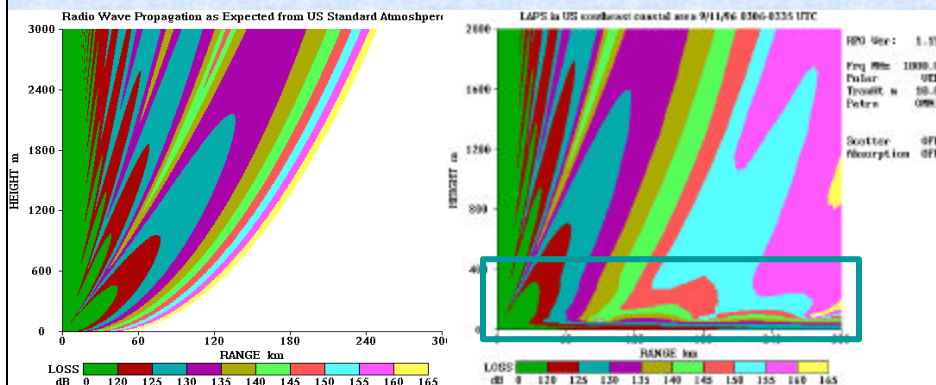
***Gradients in water vapor are most important in determining RF ducting conditions.***

## Water Vapor and Temperature



## Radar Refraction Effects

- U.S. Standard Atmosphere
- Surface/Evaporative Duct



Collier Thesis, 2004





Laps Simulation
Process Data

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#### LIDAR System Parameters

Laser Wavelength (nm)	354.7e-9	Telescope Radius (m)	0.305
Laser Power (J)	0.25	Field Stop Dia (m)	0.001
Pulse Len (sec)	5e-9	Tel. Focal Len (m)	1.5
Num. of Shots	1200	Tel. Center Dist. (m)	0.025
Exit Beam Radius (m)	0.002	Bin Time (sec)	1e-7
Laser Rep Rate (Hz)	20	Transmit. Efficiency	0.99

#### Simulation Parameters

Min. Acceptable SNR	0.5	Filter on wavelength (km)	0.001e-9
Max. Stat. Err. (%/100)	0.05	Date Stamp for Simulation File	Show File Params
"Daylight" factor (>0 to off)	0	Month	October
Max. Sim Alt (m)	10000	Day	3
Sim Alt (m)	10	Year	2006
Global sim. w/lat (m)	5e-9	Hour	6
		Minute	0

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#### Atmospheric Parameters

Temperature Profile: 1976 U.S. Standard Atmosphere | Water Vapor Profile: Water Vapor Standard | Visibility (km) Profile: clear

Number of Minutes of Simulated Data: 30

Show Temperature Plot | Show Water Vapor Plot | Load 2nd Hrs. Defaults | Load 3rd Hrs. Defaults | Load 4th Hrs. Defaults

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#### Channel Parameters

	vib N2(1)	vib H2O(2)	Rot1(3)	Rot2(4)	Direct B.S.(5)
Total HD Fibers CD	0	0	0	0	0
Fiber center w/len	5.6959e-9	4.0745e-9	2.9105e-9	4.1252e-9	3.647e-9
Fiber FWHM (m)	0.000e-9	0.000e-9	0.03e-9	0.00e-9	0.00e-9
Num. of D.P. Fibers	1	1	1	1	1
PMT Type (1-4)	3	3	3	3	3
Dark Count (per sec)	200	200	200	200	200
PMT gain	5e6	5e6	5e6	5e6	5e6

Transmit optics

- telescope opt
- trans\_30\_full opt
- trans\_50\_full opt

Receiv optics

- telescope opt
- trans\_30\_full opt
- trans\_50\_full opt

Transmit optics

- telescope opt
- trans\_30\_full opt
- trans\_50\_full opt

Receiv optics

- telescope opt
- trans\_30\_full opt
- trans\_50\_full opt

Transmit optics

- telescope opt
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- trans\_50\_full opt

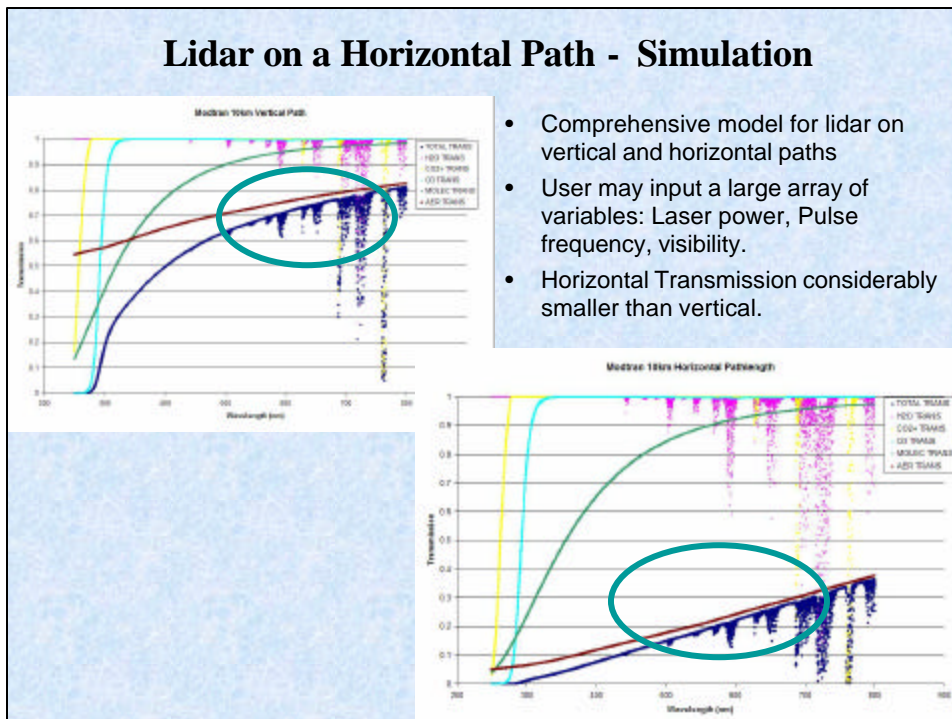
Receiv optics

- telescope opt
- trans\_30\_full opt
- trans\_50\_full opt

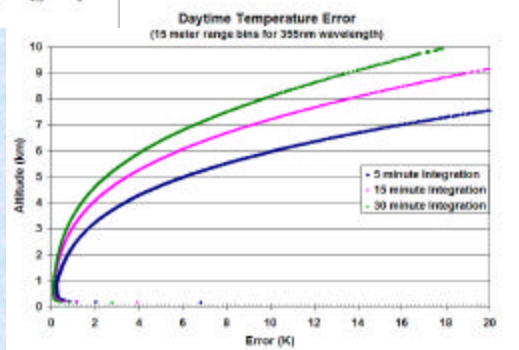
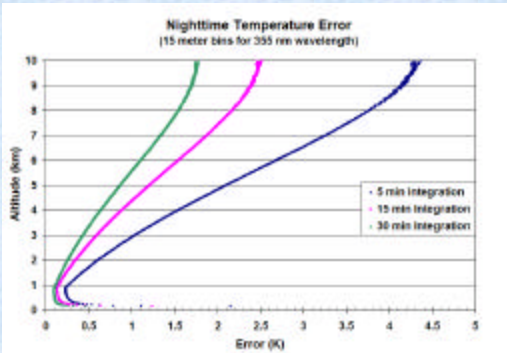
Angle Above or Below Horizontal (degrees): 1  Horizontal Path (must be checked for horizontal simulation)

If Below Horizontal give Starting Altitude of Lide (m): 0

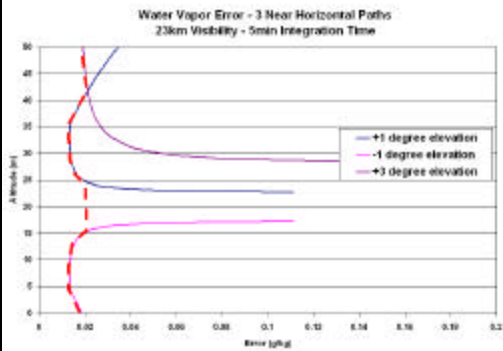
Do Simulation



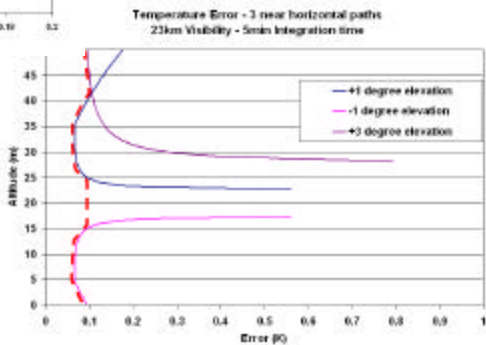
## Raman Lidar Temperature Error Night and Day



## Simulation Error for Water Vapor and Temperature



Error < 0.2 M units



## EO Optical Extinction

Extinction is obtained directly from the slope of the molecular profiles, compared to their expected hydrostatic gradient.

N<sub>2</sub> at 607 and 284 nm

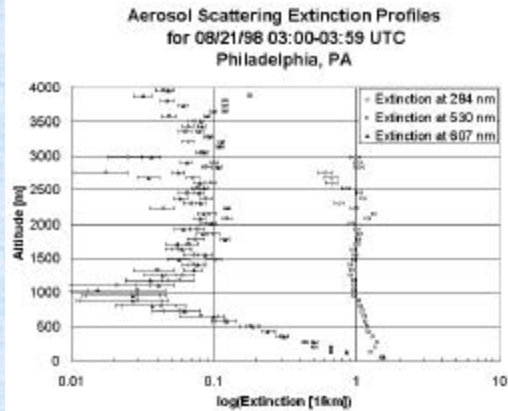
N<sub>2</sub> and O<sub>2</sub> in rotational band at 530 nm

$$a_R^{aer} = \frac{d}{dz} \left[ \ln \frac{N_R(z)}{P_R(z) \cdot z^2} \right] - a_0^{mol}(z) - a_R^{mol}(z) - a_0^{aer}(z)$$

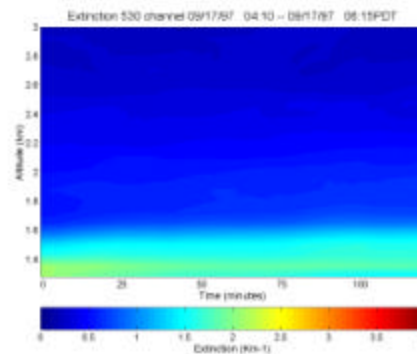
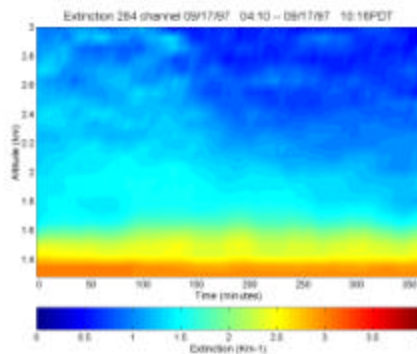
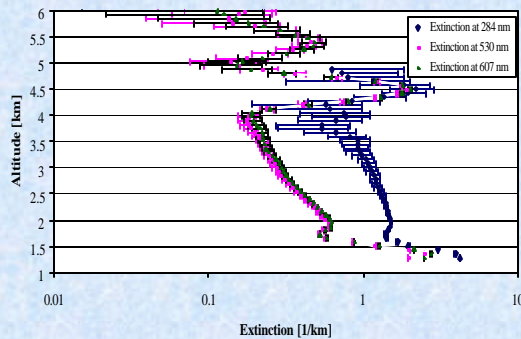
$$a_{532}^{aer} = \frac{d}{dz} \left[ \frac{1}{2} \ln \frac{N(z)}{P_{530}(z) \cdot z^2} \right] - a_{532}^{mol}(z)$$

O - outgoing - 532 or 266 nm

R - return - 530 (rot), 607 (N<sub>2</sub>), 285 (N<sub>2</sub>) or 276 (O<sub>2</sub>) nm



## EO Time sequence profiles of optical extinction

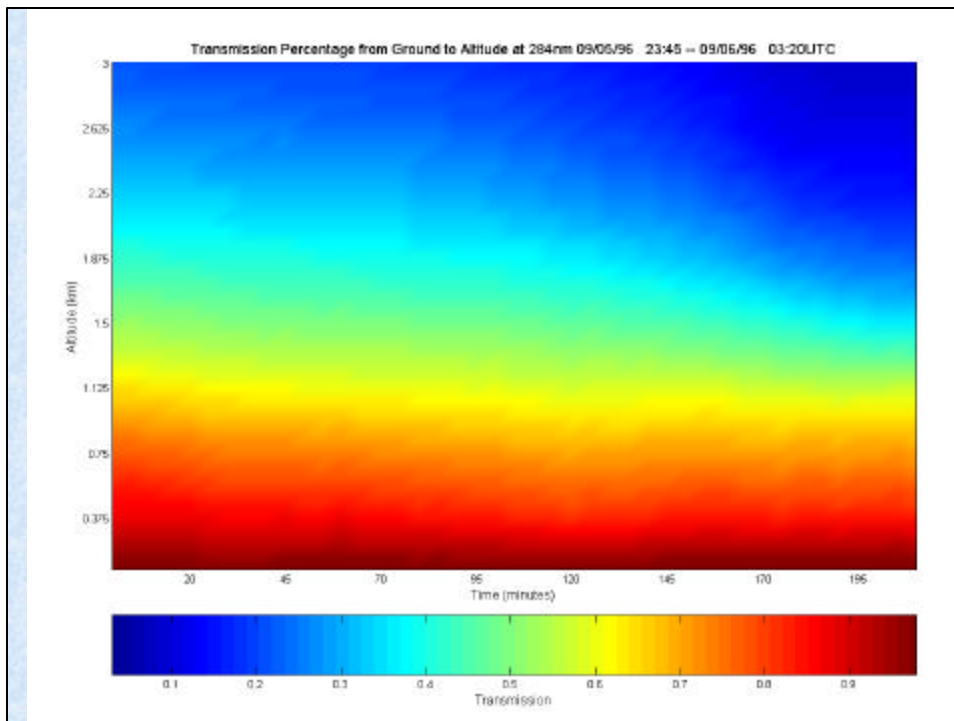
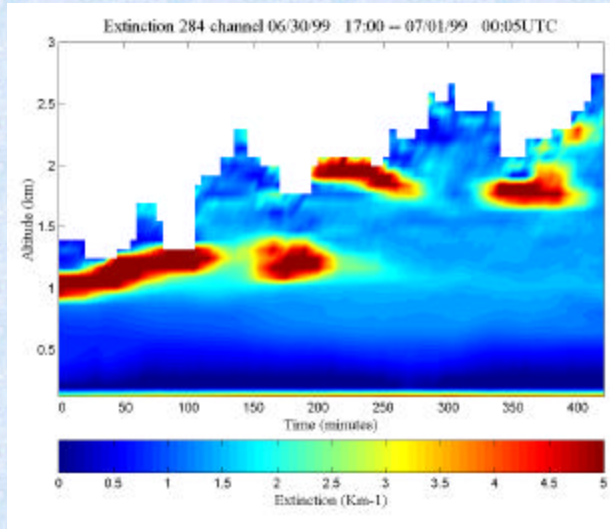






### Cloud Development

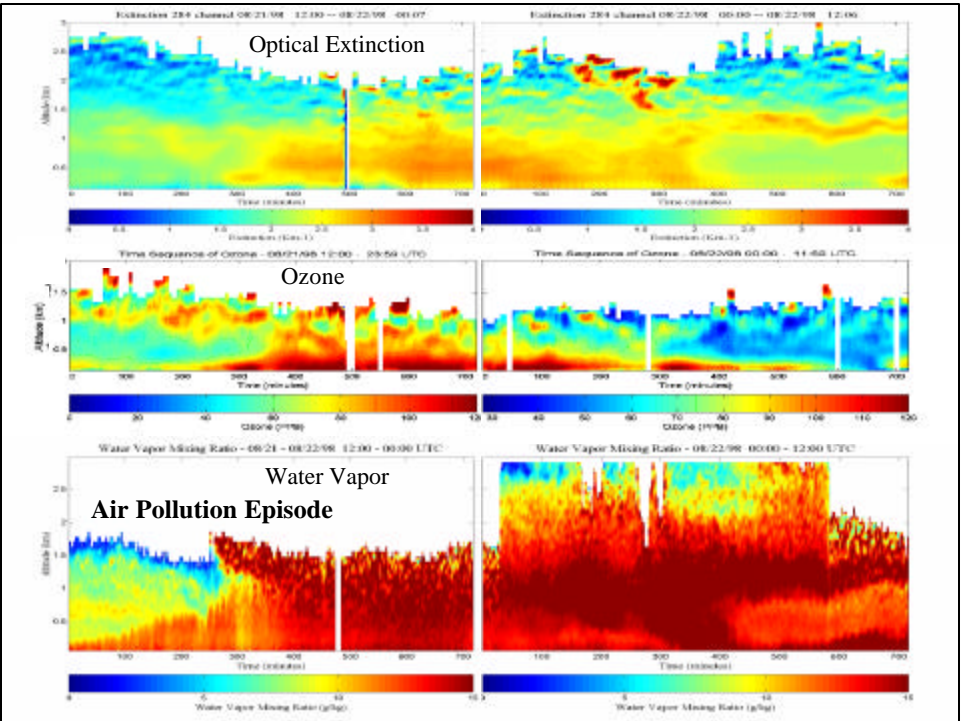
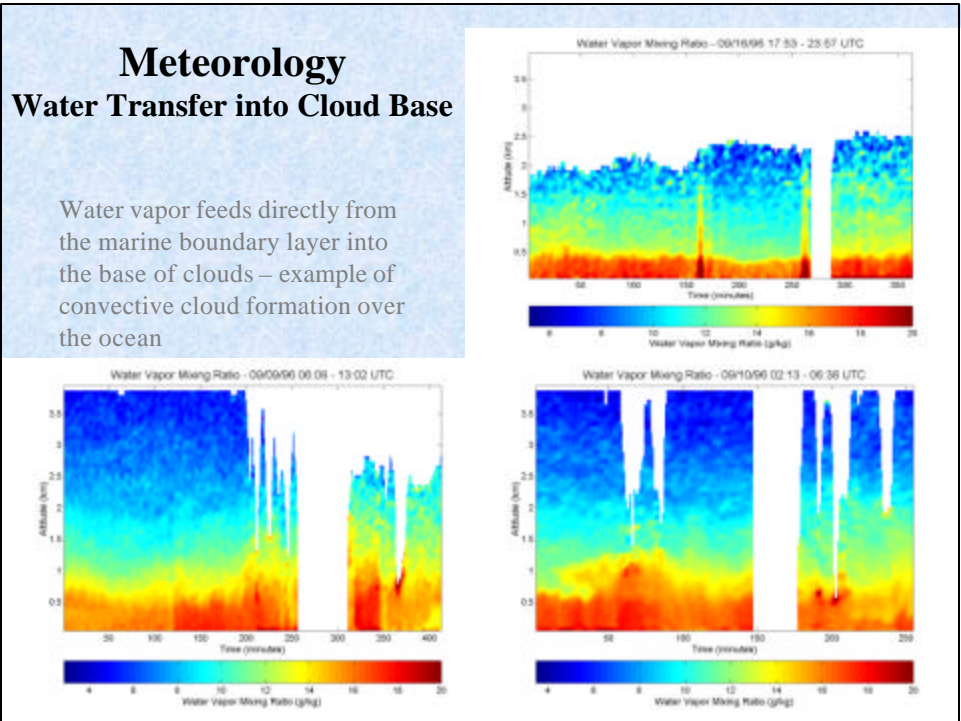
Relatively dense clouds ( $\alpha \sim 5-7\text{km}^{-1}$  OD  $\sim 1-1.5$ ) can be measured to observe formation and growth/dissipation of clouds.

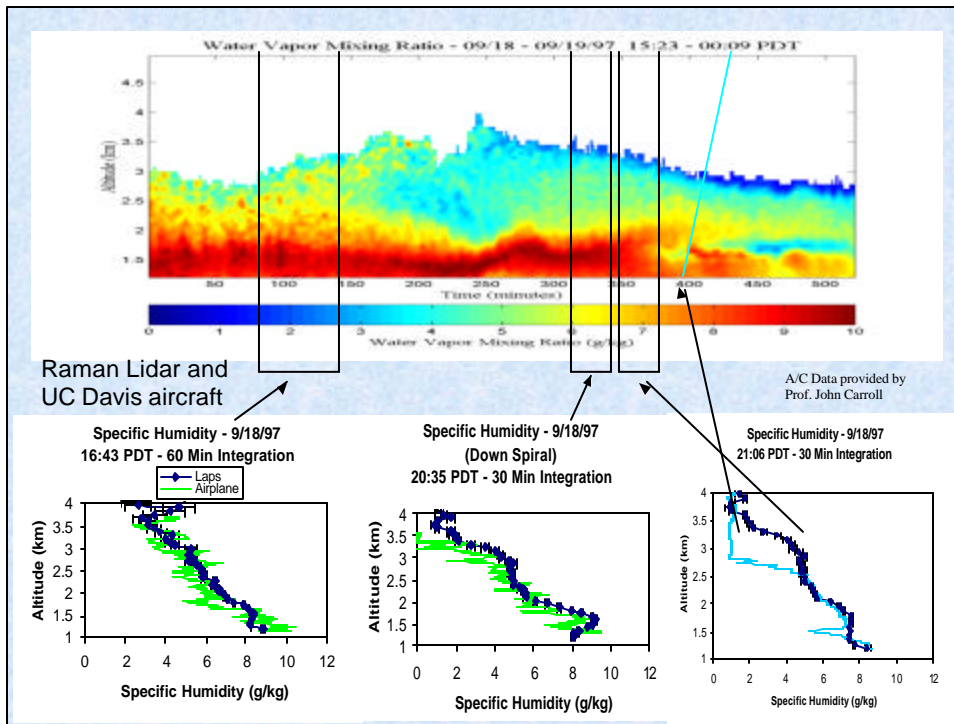


# Meteorology

## Water Transfer into Cloud Base

Water vapor feeds directly from the marine boundary layer into the base of clouds – example of convective cloud formation over the ocean





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**ALAPS**  
Advanced Lidar Atmospheric Profile Sensor

**ALAPS - Eye-safe ultraviolet lidar**  
Water vapor, temperature,  
RF refractivity, optical extinction

**Automated Operation - Real time data**  
- Small Size  
- Self-calibration





## Lidar for the Future

LAMP – Breadboard demonstration of technology - 1990

LAPS – Operational Prototype (ADM) – 1996

Too large – Needs to be covert and eye-safe – Improved resolution

ALAPS – Engineering Model (EDM) – 200?

One-third size of LAPS (<math><1\text{ m}^3</math>)

Uses eye-safe ultraviolet wavelength

Higher speed electronics for improved resolution (1 GHz)

Fully automatic and self-calibrating

Replaces most needs for sonde systems with improved data

Real time continuous data product in scientific/engineering units

Horizontal mode for evaporation duct and spatial data

EM Propagation – Radar Tracking, Detection Gaps, Communications

Lidar Water Vapor & Temperature  $\iff$  RF Refractivity

EO Propagation – Visibility, Surveillance, Aircraft OPS

Lidar Optical Extinction  $\iff$  Visual Range, Changing Conditions



## ALAPS Summary

ALAPS Raman Lidar (EDM)

- 3-D picture of EM/EO environment
- vertical profiles of meteorological properties
- automated operation with real time data
- eye-safe and covert
- self-calibrating optical system and fast electronics
- small and self-contained, choice for future low observable ships

Design work and testing on LAPS since USNS Sumner tests

- upgrade to faster electronics - embedded microprocessor
- design to smaller size (~1/3) and self-contained
- design eye-safe, self-calibration
- investigations of optical extinction, air pollution
- more than 50 papers, 20 MS thesis and PhD dissertations using LAPS for testing, analysis, design, studies of atmospheric properties



Raman Lidar is ready to be used prepared as primary instrument for atmospheric profiling -- with improved data product, high spatial resolution, and continuous data sequence. A key instrument for NOWCAST!

## Acknowledgments

The PSU lidar development, testing, and field investigations have been supported by the following organizations: supported by the following organizations: US Navy through SPAWAR PMW-185, NAVOCEANO, NAWC Point Mugu, ONR, DOE, EPA, Pennsylvania DEP, California ARB, NASA and NSF. The vision and support of Carl Hoffman, Ed Harrison and Ed Mozley have been most valuable during this development. The hardware and software development has been possible because of the excellent efforts of several engineers and technicians at the PSU Applied Research Laboratory and the Department of Electrical Engineering. Special appreciation goes to D. Sipler, B. Dix, D.B. Lysak, T.M. Petach, F. Balsiger, T.D. Stevens, P.A.T. Haris, M. O'Brien, S.T. Esposito, K. Mulik, A. Achey, E. Novitsky, G. Li and many graduate students who have made contributed to these efforts. The NE-OPS research investigations have been supported by the USEPA STAR Grants Program #**R826373**, Investigations of Factors Determining the Occurrence of Ozone and Fine Particles in Northeastern USA, and by the Pennsylvania DEP grant for the 2002 program. The efforts and cooperation of the several university investigators and government laboratory researchers is gratefully acknowledged. The effort and contributions of Rich Clark, S.T. Rao, George Allen, Bill Ryan, Bruce Doddridge, Steve McDow, Delbert Eatough, Susan Weirman and Fred Hauptman are particularly acknowledged because of their very significant contributions to these programs.

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